

01/18/01
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PTO/SB/05 (8/05)

Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket No.	03169- P0003B	
		First Inventor	Vincent P. Annunziata	
		Title	Trading Simulation	
		Express Mail Label No.	EL574204438US	
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents		ADDRESS TO Assistant Commissioner for Patents Box Patent Application Washington, DC 20231		
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)		
2. <input checked="" type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27		8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)		
3. <input checked="" type="checkbox"/> Specification [Total Pages 20] (preferred arrangement set forth below) <ul style="list-style-type: none">- Descriptive title of the Invention- Cross References to Related Applications- Statement Regarding Fed sponsored R & D- Reference to Microfiche Appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description- Claims(s)- Abstract of the Disclosure		a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on: <ul style="list-style-type: none">i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); orii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statement verifying identity of above copies		
4. <input checked="" type="checkbox"/> Drawings(s) (35 USC 113) Total Sheets 6		ACCOMPANYING APPLICATION PARTS		
5. <input type="checkbox"/> Oath or Declaration Total Pages 2 <ul style="list-style-type: none">a. <input checked="" type="checkbox"/> New executed (original or copy)b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) (for continuation divisional with Box 17 completed)c. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)		9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))		
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney		
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76		11. <input type="checkbox"/> English Translation Document (if applicable)		
<input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part of prior application No		12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations		
Prior application information Examiner Group/Art Unit		13. <input type="checkbox"/> Preliminary Amendment		
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.		14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)		
18. CORRESPONDENCE ADDRESS		15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)		
<input checked="" type="checkbox"/> Customer Number of Bar Code Label 24126 (Insert Customer No. or Attach bar code label here)		16. <input type="checkbox"/> Other		
<input checked="" type="checkbox"/> Correspondence address below				
Name	Gene S. Winter			
Address	St. Onge Steward Johnston & Reens LLC			
	986 Bedford Street			
City	Stamford	State	CT	Zip Code 06905-5619
Country	United States	Telephone	203 324-6155	Fax 203 327-1096

Name (Print/Type)	Gene S. Winter	Registration No. (Attorney/Agent)	28,352
Signature		Date	1/18/01

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Times will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Office, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OF COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision

Complete if Known

Application No.	Pending
Filing Date	January 18, 2001
First Named Inventor	Vincent P. Annunziata
Examiner Name	
Group Art Unit	
Attorney Docket Number	03169- P0003B GSW/TMO

TOTAL AMOUNT OF PAYMENT (\$ 355.00)

METHOD OF PAYMENT (check one)

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payment to

Deposit
Account
Number

19-4516

Deposit
Account
Name

St Onge Steward Johnston & Reens LLC

- ☒
- Charge Any Additional Fee Required 37 CFR 1.16 and 1.17
-
- ☒
- Applicant claims small entity status
-
- See 37 CFR 1.27

2. ☒ Payment Enclosed

- ☒
- Check
- ☐
- Money
- ☐
- Other
-
- Order

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	355.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	780	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)					(\$ 355.00)

2. EXTRA CLAIMS FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claims, if not paid	
108	80	208	40	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

** or number previously paid, if greater. For Reissues, see above

SUBTOTAL (2) (\$ -0-

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing for or oath	
127	50	227	25	Surcharge - late provisional filing or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840	Requesting publication of SIR after Examiner Action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
126	240	126	240	Submission of Informational Disclosure Stmt	
581	40	581	40	Recording each patent assignment per Property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37CFR § 1.129(a))	
149	710	249	355	For each additional invention to be Examined (37 CFR 1.129(h))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

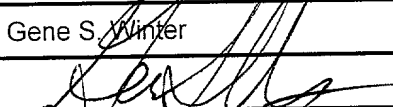
Other fee (specify)

* Reduced by Basic Filing Fee paid

SUBTOTAL (3) (\$ -0-

SUBMITTED BY St Onge Steward Johnston & Reens LLC

Complete (if applicable)

Name (Print Type)	Gene S. Winter	Registration No (Attorney/Agent)	28,352	Telephone	203 324-6155
Signature				Date	1/18/01

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